



PATENT

*JFW*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): En-Hsing Chen et al.

Title: NAND MEMORY ARRAY INCORPORATING CAPACITANCE  
BOOSTING OF CHANNEL REGIONS IN UNSELECTED MEMORY  
CELLS AND METHOD FOR OPERATION OF SAME

Application No.: 10/729,831 Filed: December 5, 2003

Examiner: Pham, Ly D. Group Art Unit: 2827

Atty. Docket No.: 023-0028 Confirmation No.: 8528

December 8, 2005

Mail Stop Amendment  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

**37 C.F.R. § 1.97(c)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings to the Examiner's attention in the above-identified application the patents, publications, applications or other information identified in the attached:

- ☒ Form(s) PTO/SB/08A (1 page(s), with copy of no reference(s)).
- ☒ Form(s) PTO/SB/08B (1 page(s), with copy of 4 reference(s)).
- ☐ Listing of Pending Applications (N/A page(s), with copy of no application(s)).
- ☐ Other: N/A

Citation of such information shall not be construed as (i) an admission that the information necessarily is, or corresponds to, prior art with respect to the instant invention, (ii) a representation that a search has been made, other than as described herein, or (iii) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance through (i) an English language abstract, (ii) an English language equivalent application, or (iii) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

12/14/2005 HVUONG1 00000010 10729831

01 FC:1806

180.00 OP

CERTAIN COPIES NOT INCLUDED

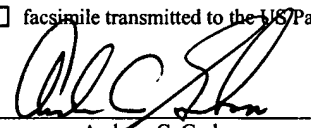
Pursuant to operative regulations, certain copies need not be provided and corresponding information is identified in this Information Disclosure Statement by citation only. In particular,

- ☒ In accordance with 37 C.F.R. § 1.98(a)(2)(ii), copies of cited U.S. Patents and U.S. Patent Application Publications are not included.
- ☐ Certain information was submitted to, or cited by, the Office in Application No(s). N/A, filed N/A, to which the above-identified application claims priority under 35 U.S.C. § 120. In accordance with 37 C.F.R. § 1.98(d), copies of that information are not included.
- ☐ Pursuant to 1287 OG 163 (October 19, 2004), for those cited pending U.S. patent applications filed (or which entered the national stage under 35 U.S.C. § 371) on or after June 30, 2003 or which are otherwise stored in the USPTO's Image File Wrapper system, copies are not included.

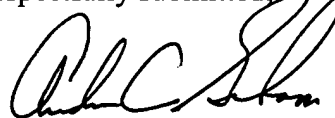
FEE AUTHORIZATION

The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of (i) a final action under § 1.113, (ii) a notice of allowance under § 1.311 or (iii) an action that otherwise closes prosecution. Accordingly, this Information Disclosure Statement is accompanied by the fee set forth in § 1.17(p) as follows:

- ☒ A check in the amount of \$180.00 is enclosed herewith.
- ☐ The undersigned hereby authorizes the Commissioner to charge the fee set forth in § 1.17(p) to Deposit Account No. n/a.

<u>CERTIFICATE OF MAILING OR TRANSMISSION</u>	
I hereby certify that, on the date shown below, this correspondence is being	
<input checked="" type="checkbox"/>	deposited with the US Postal Service with sufficient postage as first class mail and addressed as shown above.
<input type="checkbox"/>	facsimile transmitted to the US Patent and Trademark Office.
 Andrew C. Graham	<u>12-8-05</u> Date

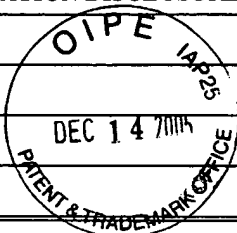
Respectfully submitted,



Andrew C. Graham, Reg. No. 36,531  
 Attorney for Applicant(s)  
 (512) 338-6313 (direct)  
 (512) 338-6300 (main)  
 (512) 338-6301 (fax)

EXPRESS MAIL LABEL: \_\_\_\_\_

PTO/SB/08A (Substitute for form 1449/PTO)		Attorney Docket No.: 023-0028
		Application No.: 10/729,831
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		First Named Inventor: En-Hsing Chen, et al.
		Filing Date: December 5, 2003
		Group Art Unit: 2827
		Examiner Name: Pham, Ly D.
Sheet 1 of 1		Date Submitted: December 8, 2005



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	6,335,890 B1	01-01-2002	Reohr et al.		
	AB	6,411,548	06-25-2002	Sakui et al.		
	AC	6,542,407 B1	04-01-2003	Chen et al.		
	AD	6,567,287 B2	05-20-2003	Scheuerlein		
	AE	US 2005/0121790	06-09-2005	Cleaves et al.		
	AF	US 2003/0109093	06-12-2003	Harari et al.		
	AG	US 2005/0018485 A1	01-27-2005	Noguchi et al.		
	AH					
	AI					
	AJ					
	AK					
	AL					
	AM					
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	AN	WO-2002-43067 US	05-2002			Abstract Only
Examiner Signature				Date Considered		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3)

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

